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Soft Collinear Effective Theory in QCD

Christopher Lee, LANL

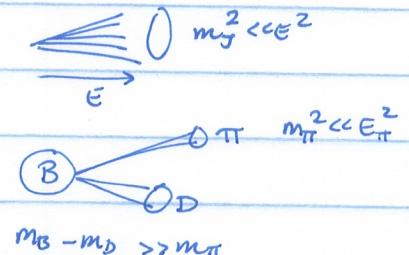
Lecture 1 & Outline to EFT

What is SCET?

- Effective field theory of QCD applicable to processes with energetic, lightlike d.o.f. $E \gg m$ (collinear)

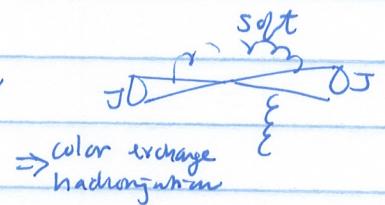
e.g. jets

light hadrons



$$m_B - m_D \gg m_\pi$$

interacting with soft (wide-angle) radiation



\Rightarrow color exchange hadronization

- invented recently 2000s

(earlier EET had no coll. gluons)

- many new applications to jets
in 2010s.

SCET_I

jet substructure

SCET_{II}

TMD physics

small-x

SCET + gluons

heavy ions

SCET_{III}

SCET is QCD, organized in power expansion in $\lambda \sim \frac{m}{E}$
formulated as an EFT, aiding in

- factorization of physics at separated scales
- systematic power corrections
- RG evolution to resum loop in pert. th.
- finding unusual non-pert. effects.

You can do all this in full QCD, but may be more challenging to gauge
exp. at higher orders (p.c.'s, log resum.)

- SCET has helped achieve:
- ~~any~~ N³LL resummations of certain jet observables (event shapes)
 - rigorous proof of universal NP corrections in event shapes
 - new approaches to resum non-global Lep., jet substructure, small-x, TMDs heavy ins ...

Plan of Lectures

- | | |
|---|--|
| 月 | Intro to EFT |
| 火 | Jets and SCET building blocks |
| 水 | i) SCET _I Lagrangian
ii) factorization in SCET _I (e+e thrust) |
| 木 | i) RG evolution & resummation
ii) DIS, pp collisions, beam functions |
| 金 | i) SCET _{II} & TMD physics
ii) SCET _{+,-} & jet substructure |

I Effective Field Theory

To construct EFT, need

- field content for known (or assumed) degrees of freedom
- any known symmetries
- regularization / renormalization scheme (DR)

If you know the "full" theory, can derive EFT by power expansion and matching. If not, unknown coeffs in EFT need to be measured.

Every theory is an EFT.

Even Standard Model:

$$\mathcal{L}_{SM} = \sum_i \bar{\psi}_i \not{D} \psi_i + \sum \frac{1}{4} \not{F}^{\mu\nu} F_{\mu\nu} + \partial_\mu H^\dagger \partial^\mu H - V(H) +$$

\downarrow
quarks & leptons \downarrow
gauge bosons \downarrow
 $SU(3) \times SU(2) \times U(1)$

$$+ \sum g_{ij} \bar{\psi}_i^i \psi_n^j H \quad (+ \text{gauge h.c.})$$

\downarrow
 $Y_{W/Z}$ \downarrow
dim

- field content:

spin-0	$[H] = 1$	$[\chi] = 4$	(renormalizable)
spin- $\frac{1}{2}$	$[\psi] = \frac{3}{2}$	\downarrow could be complete theory	
spin-1	$[A^M] = 1$		
- Lorentz sym.
- gauge sym. $SU(3) \times SU(2)_L \times U(1)_Y \xrightarrow{\text{dim}} U(1)_{EM}$

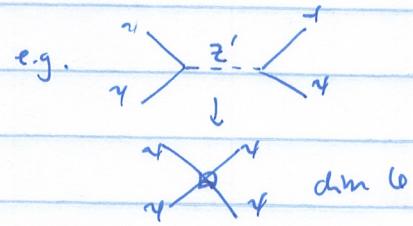
but we know there is more. SM is an EFT of the higher scale "full" theory:

$$\mathcal{L} = \mathcal{L}_{SM} + \frac{c_5}{\Lambda} (L^\dagger C \epsilon H) (H^\dagger \epsilon L) + \sum \frac{c_6}{\Lambda^2} O_i^{(6)}$$

\downarrow
dim 5
Majorana neutrino mass

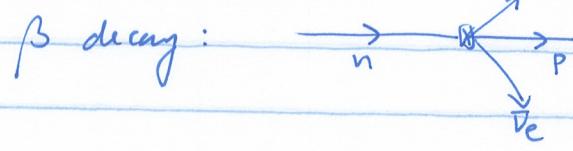
$\begin{array}{c} \overline{\nu_L} \\ \times \\ \overline{\nu_L} \\ \hline \overline{\nu_R} \\ \times \\ \overline{\nu_R} \end{array}$

generated by heavy ν_R



w/o knowing full theory you can still compute/constrain its effects at low energy $E \ll \Lambda$.

Fermi theory of weak int.



$$\mathcal{L}_{\text{Fermi}} = \sum_{ij} \frac{c_{ij}}{\Lambda_W} \bar{p} \gamma_i n \bar{e} \gamma_j e + \text{h.c.}$$

$$\text{can be } S, P, V, A \rightarrow \text{L.G.}$$

S P V A T

2 red, 1 count in \Rightarrow $S \cdot \frac{g}{\Lambda}$ reduces to 10
 $V/V/A$
 C, P, or T inv can reduce further

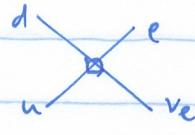
Full theory: β decay mediated by (SM!)



$$\mathcal{A} = \frac{g^2}{8} V_{ud} \frac{i}{k^2 - M_W^2} \bar{u} \gamma_\mu (1 + \gamma_5) d \bar{e} \gamma^\mu (1 - \gamma_5) v_e$$

$$\text{at low energy: } -\frac{i}{M_W} \left(1 + \frac{k^2}{M_W^2} - \frac{1}{2} \left(\frac{k^2}{M_W^2} \right)^2 + \dots \right)$$

lowest order reproduced by



$$\hat{\mathcal{O}} = \bar{u} \gamma_\mu (1 + \gamma_5) d \bar{e} \gamma^\mu (1 - \gamma_5) e$$

"V-A"

$$\mathcal{L}_{\text{eff}} = -\frac{G_F}{\sqrt{2}} V_{ud} \hat{\mathcal{O}}$$

$$\Rightarrow \text{SM predicts } G_F = \frac{g^2}{2 M_W^2} \text{ & operator content.}$$

(still need non-pert matr elts.)

$$\langle p | \bar{u} \gamma_\mu d | n \rangle = g_V \bar{u}_p \gamma_\mu u_n$$

$$\langle p | \bar{u} \gamma_\mu \gamma_5 d | n \rangle = g_A \bar{u}_p \gamma_\mu \gamma_5 u_n$$

we can now do same for BSM left.

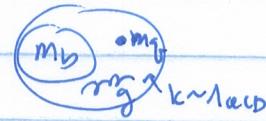
~ 1.27

In this kind of EFT, just "integrate out" a heavy particle completely from the theory.

In some EFTs we integrate out only the large momentum modes but keep the particle (HQET, SET, NRQCD)
simpler

HQET (Heavy Quark Effective Theory)

consider B meson
(D)



$$p_B = m_q v + k$$

$$k \ll m_\alpha$$

$$v = (1, \vec{0}) \text{ in } \alpha \text{ rest frame}$$

goal: integrate out m_α while leaving $k \sim O(m_\alpha)$ fluctuations in v .

what do we expect EFT to look like?

heavy quark propagator

$$i \frac{p_\alpha + m_\alpha}{p^2 - m_\alpha^2 - i\epsilon} = i \frac{m_\alpha v + k + m_\alpha}{m_\alpha^2 + 2m_\alpha v \cdot k + k^2 - i\epsilon} \quad \text{Note: index of } m_\alpha$$

$$\rightarrow i \frac{1+v}{2v \cdot k \cdot \epsilon} \quad \text{prop. h. } \frac{1+v}{2}$$

flavor sym.
 $\leftrightarrow b$

gluon vertex:

$$-\frac{\not{v} \not{k}}{v_\mu} \rightarrow \frac{1+v}{2} v_\mu \frac{1+v}{2} = \frac{1+v}{2} \frac{1-v}{2} v_\mu^+ + \frac{1+v}{2} v_\mu^-$$

$$\Rightarrow v_\mu \rightarrow v_\mu \quad \frac{\not{v}}{v_\mu} \rightarrow \text{simpler!}$$

spin
sym.

HQ approx: relates $B \rightarrow D^{(*)} \ell \nu$ decay

m_α loop effects go like $\sim \frac{1}{m_\alpha}$.

Construct the HQET Lagrangian:

Step 1: take out large mass $m = \bar{m}$ $\psi(x) = e^{im\omega v \cdot x} \bar{\psi}_v(x)$

Step 2: project "large" & "small" components:

$$h_v = \frac{1+v}{2} \bar{\psi}_v \quad H_v = \frac{1-v}{2} \bar{\psi}_v$$

\downarrow
obtains $\bar{\psi}_v = h_v$

(Dirac eq. for fermion w/ mass $m \omega v$)

end Lect. I

Step 3: expand QD lag: $\mathcal{L} = h_v + H_v$

$$\mathcal{L}_{\text{Dir.}} = \bar{\psi} (i\cancel{D} - m\omega) \psi$$

$$= (\bar{h}_v + \bar{H}_v) (i\cancel{D} - m\omega) (h_v + H_v)$$

$$= \bar{h}_v + \frac{1+v}{2} \bar{\psi}_0 = \bar{h}_v \frac{1+v}{2}$$

$$= \bar{h}_v^{\dagger} \psi_0 \left(\frac{1-v}{2} + 2\omega_0 \right) \bar{h}_v$$

$$= (\bar{h}_v + \bar{H}_v) e^{im\omega v \cdot x} (i\cancel{D} - m\omega) \bar{e}^{im\omega v \cdot x} (h_v + H_v)$$

$$= (\bar{h}_v + \bar{H}_v) e^{im\omega v \cdot x} e^{-im\omega v \cdot x} (i\cancel{D} + m\omega \cancel{D} - m\omega) (h_v + H_v)$$

\downarrow
 $(1-v) h_v = 0$
 $(1-v) H_v = 2H_v$

$$= (\bar{h}_v + \bar{H}_v) [i\cancel{D} h_v + (i\cancel{D} - 2m\omega) H_v]$$

$$\frac{1+v}{2} \cancel{D} \frac{1+v}{2} = v \cdot D = - \frac{1-v}{2} \cancel{D} \frac{1-v}{2} = - \frac{1-v}{2} \left(\frac{1+v}{2} \cancel{D} - v \cdot D \right)$$

$$\frac{1+v}{2} \cancel{v} \cancel{v} \frac{1-v}{2} = \frac{1+v}{2} \left(\cancel{v} \cancel{v} - v \cdot v \right) = \frac{1+v}{2} (v^m - v \cdot v)$$

$$= \bar{h}_v i v \cdot D h_v - \bar{H}_v (v \cdot D + 2m\omega) H_v$$

$$+ \bar{h}_v i \cancel{D} h_v + \bar{H}_v i \cancel{D} h_v \quad \text{effective heavy mass } 2m\omega \text{ for } h_v$$

$$\downarrow \text{actually only } \cancel{D}_L \quad \text{where } X_L^m = \cancel{X}^m - X \cdot v \cancel{v}$$

$$\frac{1+v}{2} \cancel{v} \cancel{v} \frac{1-v}{2} = 0$$

Step 4: "interpret out H_v ". at tree level just classical q.f.m.t.:

$$(iv \cdot D + 2m\omega) H_v = i \cancel{D}_L h_v$$

$$\Rightarrow \mathcal{L} = \bar{h}_v (iv \cdot D + i \cancel{D}_L \frac{i \cancel{D}_L}{iv \cdot D + 2m\omega}) h_v$$

$$= \bar{h}_v (iv \cdot D - \frac{1}{2m\omega} \cancel{D}_L \cancel{D}_L + \dots) h_v$$

$$\mathcal{L} = \bar{h}_v (iv \cdot D - \frac{D_L^2}{2m\omega} - g \frac{\sigma^{\mu\nu} \epsilon_{\mu\nu}}{4m\omega}) h_v + \dots$$

\downarrow
reproduces w/ Feyn. rule $\rightarrow \bar{m}_u$ curr.